

**Major General (R) Al Hopkins**  
*Chairman*

**Jerry Griffith**  
*Commissioner*

**Tom Gresham**  
*Commissioner*



**Allen Godfrey**  
*Executive Director*

## **MISSISSIPPI GAMING COMMISSION**

Post Office Box 23577  
Jackson, Mississippi 39225-3577  
(601) 576-3800

### **NEW GAME APPROVAL POLICY**

The following shall define the Mississippi Gaming Commission's (MGC) policy and procedures regarding the introduction of new table games or variations of previously approved games to the Mississippi gaming jurisdiction.

#### **Authorized Games**

Only those games that appear on the list entitled "Table Games Approved For Play In Mississippi Casinos" are authorized for use by operating licensees – any other game, variation or composite must be submitted to the MGC for evaluation.

Variations or composites of the games on the list may be approved administratively, provided that they are found suitable by the MGC for use in casinos in Mississippi. Those variations or composites that are deemed new games by the MGC may only be approved by a vote of a quorum of the Commission following a successful field trial. The MGC reserves the right to field test any new game, variation or composite to ascertain its suitability for use in Mississippi casinos.

#### **Submission Requirements – Game Developer**

The following items must be submitted to the MGC to initiate the evaluation process:

1. A completed New Game Submission Form;
2. Submission fee of \$1,000.00;
3. A biographical sketch of the developer(s);
4. A full-color, scale drawing or photograph depicting the table layout;
5. Examples and/or prototypes of any specialized equipment used in conjunction with the game;
6. Rules of play, including specific examples;
7. A signed agreement with an operating licensee in Mississippi to host the field trial;
8. A complete mathematical analysis of the expected game results (win percentage for each wager, expected value, etc.) conducted by an independent testing lab licensed by the MGC;

9. A notarized statement that the developer of the new game agrees to pay all costs for shipment, inspection and incidental costs documented by the MGC in connection with the examination and evaluation of the . These costs may include, but shall not be limited to: travel and incidental expenses, special supplies and equipment necessary for evaluation and time spent by commission staff evaluating the applicant's material. All time will be billed at an hourly rate of \$80.00;
10. A notarized statement that the developer of the new game agrees that the casino conducting the field trial will receive 100% of the revenues produced by the game;
11. A notarized statement that at least one working model is available, or will be available immediately, should the game be approved for a field trial;
12. List of persons with whom the MGC may discuss aspects of the game; and
13. Proof of ownership of the intellectual property regarding the game – trademark, patent, etc. The completed packet should be submitted for review, and if approved for field trial, the developer will be notified to deliver the working model to the operating licensee that will be hosting the field trial.

### **Field Tests and Procedures – Operating Licensee**

Once the completed submission has been received and reviewed, an operating licensee must request permission to field-test the game. Only after this request has been received may the trial commence. The following procedures shall apply to all field trials:

1. The developer shall indicate which operating licensee has agreed to test the game and the projected date on which the test will begin;
2. An agent of the MGC will inspect the game and evaluate surveillance coverage;
3. The operating licensee, along with the developer, will provide training for all dealers, casino supervisors and MGC Staff in the district hosting the field trial;
4. Any patron complaints or disputes, regardless of amount, concerning the game shall be documented and will include, at the minimum, the date, time and nature of the dispute or complaint, as well as any corrective action taken. All complaints or disputes shall be reported in writing immediately to the MGC;
5. All activity on the game is to be recorded; surveillance coverage shall be retained in accordance with Mississippi gaming regulations;
6. Each week the operating licensee shall submit a report to the MGC; which, at the minimum shall contain the following information: Closing Table Inventories; Opening Table Inventories; Total Credits; Total Fills; Total Drop; Total Win; and any documentation pertaining to patron complaints or disputes;

7. During the trial period, the operating licensee is to receive 100% of the revenues produced by the game and the developer will not be entitled to receive revenue of any kind from the host casino as a result of the field trial;
8. Field trials will last no less than 90 days, however, the MGC reserves the right to extend a field trial if necessary.
9. Minor modifications to game rules and procedures may be allowed during the field trial, with prior written approval by the MGC; and
10. Upon completion of the field trial, the operating licensee and game developer shall each prepare a written report containing the following elements: An evaluation of the success of the game, patron perception and acceptance of the game, a recommendation that the game is either approved, disapproved or continued in field trial status.

The operating licensee will be responsible for submitting the required data to the MGC. Failure to submit complete and accurate data in a timely fashion will result in termination of the field trial and disapproval of the game.

The Executive Director or his designee may order termination of the field trial, if it is determined that the developer or the operating licensee has not complied with the terms and conditions of the field trial.

### **Final Approval**

The Executive Director may recommend final approval to a quorum of the Commission if the game is found to be compatible with the public interest and is deemed suitable for use in casinos in Mississippi.

A recommendation for final approval will require that you appear before the Commission at a scheduled commission meeting. The person handling your game for the commission will advise you when and where to appear.

At the time you appear before the Commission, you will need to demonstrate the game to the commissioners if requested. Please make arrangements to bring all items needed for this demonstration. Please be prepared to answer questions relating to your game.

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**VARIATION/MODIFICATION OF AN  
APPROVED GAME FORMAT**

A game variation/modification constitutes a slight change to a previously approved game (i.e., addition or revision of a payable or side wager). The following is a list of items that must be included with the submitter's request for an evaluation and approval of a game variation or modification:

1. A letter requesting approval of the game;
2. One thousand dollars (\$1,000) in the form of a check or cashier's check made payable to the Mississippi Gaming Commission;
3. If the submitter does not have a New Table Game Submission Form ("form") on file, or is not a licensed manufacturer or distributor in the State of Mississippi, that form must be completed;
4. A complete and comprehensive description of the approved, standard game.
5. A complete and comprehensive description of the proposed variation/modification to the standard game.
6. A depiction of the difference between the standard game and the proposed variation/modification.
7. A full-color, scale drawing or photograph depicting the table layout.
8. Rules of play, with specific examples.
9. A complete mathematical analysis of the expected results of the proposed game variation/modification conducted by an independent mathematical expert recognized by the MGC or a testing laboratory licensed by the MGC.
9. Pay schedules or paytables for the proposed game variation/modification.
10. A description of any specialized equipment used in conjunction with the variation/modification.
11. Proof of ownership of the intellectual property regarding the game (eg. trademark, patent, etc.) or in the alternative, a notarized statement that the game, variation, or modification is not known to infringe upon any intellectual property rights.

The items above should be submitted to the Gaming Laboratory Division of the MGC.

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**Submission Form for a New Table Game or the Modification of a Previously  
Approved Table Game  
(Unlicensed Applicants)**

**General Instructions**

Type or print an answer to every question; if a question does not apply to you, please indicate with N/A. If the space available is insufficient, use a separate sheet and precede each answer with the appropriate title. All of the disclosures made on this form are subject to verification and any omission or misstatement may result in the denial of your submission and the forfeiture of any fees remitted.

This form must be completed by each Executive, Officer / Director and/or Equity Holder of greater than 5% of the developing Corporation, Limited Liability Company, Partnership, etc.

- Name of Game: \_\_\_\_\_
- Type of Business Entity: \_\_\_\_\_
- Business Name: \_\_\_\_\_ EIN: \_\_\_\_\_
- Business Address: \_\_\_\_\_
- City: State: Zip Code: \_\_\_\_\_

**1. Personal Information**

Last Name		First		Middle	
Alias (e.g., nicknames, name changes, maiden name)					
Residence		Apt. #	City/Town	State	Zip Code
Mailing Address		Apt. #	City/Town	State	Zip Code
Business Address		Suite #	City/Town	State	Zip Code
Telephone Numbers			Occupation		
Residence: (____) ____ - _____			E-Mail Address		
Business: (____) ____ - _____					
Cellular: (____) ____ - _____					
Fax: (____) ____ - _____					

Date of Birth		Place of Birth (City, County, State)		Social Security Number
Sex	Eye Color	Hair Color	Height	Weight

**2. Arrests, Detentions, Litigations and Arbitrations**

**Have you ever been arrested, detained, charged, indicted or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of the disposition of the event? (Except minor traffic violations)**

- Yes       No

If you answered yes, please provide details in the space below. Please include all arrests, including those for which you were not convicted. Continue on a separate sheet of paper if necessary.

Date of Arrest	Charge	Arresting Agency	City & State	Disposition & Date

**Has a criminal indictment, information, or complaint ever been returned against you, for which you were not arrested or in which you were named as an un-indicted co-party?**

- Yes       No

If yes, please provide details on a separate sheet of paper.

**Have you ever been questioned or deposed by a city, county, state, federal law enforcement agency or commission or committee?**

- Yes       No

If yes, please provide details on a separate sheet of paper.

**Have you ever been subpoenaed to appear or testify before a federal, state, or county grand jury on a civil or criminal matter; or before a Board or Commission on an administrative issue?**

- Yes       No

If yes, please provide details on a separate sheet of paper.

**Have you ever had a civil or criminal record expunged or sealed by a court order?**

- Yes       No

If yes, please provide details on a separate sheet of paper.

**Have you ever received a pardon or deferred prosecution for any criminal offense?**

Yes       No

If yes, please provide details on a separate sheet of paper.

**Have you, as an individual, member of a partnership, owner, director, or officer of a corporation, ever been a party to a lawsuit as either a plaintiff or defendant or arbitration as either a claimant or respondent?**

Yes       No

If you answered yes, please provide details in the space below, please include any pending matters. Continue on a separate sheet of paper if necessary.

Plaintiff/Defendant or Claimant/Respondent	Date Filed	Court and Case Number	City, County & State	Disposition & Date

**Has any general partnership, business venture, sole proprietorship, or closely held corporation (while you were associated with it as an owner, officer, director, or partner) been a party to a lawsuit, arbitration, or bankruptcy?**

Yes       No

If you answered yes, please provide details in the space below, please include any pending matters. Continue on a separate sheet of paper if necessary.

Name of Entity	Type of Entity	Approximate date(s) of Lawsuit/Arbitration/Bankruptcy

### **3. Residences**

**List your current and previous residence:**

Month/Year From - To	Physical Address, City, State, Zip Code

#### **4. Employment**

List your current employment:

Month/Year From - To	Name of Business and Physical Address	Reason for Leaving
Title	Name of Supervisor	Gaming Present <input type="checkbox"/> Yes <input type="checkbox"/> No
Description of Duties		

#### **5. Licensing and Other Information**

Have you ever held a privileged or professional license in any State, including but not limited to the following:

- |                                           |                                            |                                                     |
|-------------------------------------------|--------------------------------------------|-----------------------------------------------------|
| <input type="checkbox"/> Liquor / Beer    | <input type="checkbox"/> Boxing / Athletic | <input type="checkbox"/> Real Estate Broker / Sales |
| <input type="checkbox"/> Accountant       | <input type="checkbox"/> Law               | <input type="checkbox"/> Other                      |
| <input type="checkbox"/> Medical          | <input type="checkbox"/> Jockey            |                                                     |
| <input type="checkbox"/> Race Horse / Dog | <input type="checkbox"/> Trainer / Manager |                                                     |

State where, years licensed and the nature of any disciplinary actions taken against you:

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Have you ever held a financial interest in a gambling venture, including a race track; dog track; race horse or dog; lottery; casino; bookmaking operation; pari-mutuel operation; or manufacturer or distributor of gambling equipment?

- Yes       No



If yes, state when and where and give names and locations of businesses in which you were/are involved and the names and addresses of all partners.

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**Have you ever appeared before a licensing agency or similar authority in or outside of the State of Mississippi for any reason whatsoever?**

Yes       No

If yes, provide the details below:

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**Have you ever been refused a gaming license, finding of suitability, gaming work permit or had any other gaming related approval revoked, recalled, suspended or conditioned?**

Yes       No

If yes, provide the details below:

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**Have you ever been granted a gaming license or been a participant in any group which has been issued a gaming license by the State of Mississippi?**

Yes       No

If yes, state the type of license, name of establishment, location and period held:

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**Have you ever been employed in the gaming industry in the State of Mississippi or any other jurisdiction?**

Yes       No

If yes, state the location, name of establishment, position and dates of employment:

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**Do you have any relatives associated with or employed in the gaming industry?**

- Yes       No

If yes, provide the details below:

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**STATEMENT OF TRUTH**  
**(To be completed in the presence of a Notary Public)**

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

\_\_\_\_\_ being duly sworn according to law deposes and says:  
(Name of Applicant)

(Place your initials in appropriate response)

- |    |                                                                                                                 |         |        |
|----|-----------------------------------------------------------------------------------------------------------------|---------|--------|
| 1. | I am the applicant who is submitting this application form                                                      | Yes ( ) | No ( ) |
| 2. | I personally supplied the information contained in this form.                                                   | Yes ( ) | No ( ) |
| 3. | I swear (or affirm) that the information contained in this form is true to the best of my knowledge and belief. | Yes( )  | No ( ) |

\_\_\_\_\_  
(Legal Signature of Applicant)

\_\_\_\_\_  
(Date)

Subscribed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

and \_\_\_\_\_ a corporation incorporated under the laws of the State of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

SEAL

\_\_\_\_\_  
State

**AFFIDAVIT OF FULL DISCLOSURE**

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

\_\_\_\_\_, being first duly sworn, deposes and says,

**Name of Applicant**

That, except as reflected on an application filed with the Gaming Commission, he is or will be the sole beneficial owner of any direct or indirect interest in or to a licensed gaming operation or any portion thereof for which he has made application to the Gaming Commission, to be licensed or found suitable to own;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements of understandings with any other person and no present intent to hold as agent, nominee or otherwise any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements or understandings with any other person and no present intent to transfer at any future time any interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements or understandings with any other person and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person related to the acquisition of any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding or suitability by the Gaming Commission;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements or understandings and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person related to the sale of any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, any funds used or to be used, and any liabilities incurred or to be incurred by him in the acquisition of any direct or indirect interest in or to a licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission were not provided to him nor made available to him through the efforts of anyone not disclosed to the Gaming Commission;

That, except as reported in writing to the Gaming Commission, no other person has provided collateral for or guaranteed payment of any loans made to him related to his application for licensing or a finding of suitability by the Gaming Commission.

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Name and location of gaming establishment

Sworn to and subscribed before me on this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

**RELEASE OF ALL CLAIMS**

The undersigned has filed with the Gaming Commission an "application," as that term is defined in the Mississippi Gaming Control Act and the Gaming Commission. In consideration of the assurance by the Commission that no vote on said "application" will be taken except after a deliberate, intensive and thorough investigation of the undersigned, including but not limited to background, associates, and finances, the undersigned does for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the State of Mississippi, the Gaming Commission, its members, agents, and employees, from all and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or by reason of the processing or investigation of or other action relating to the undersigned's "application".

I, the undersigned, have read this release and understand all its terms. I execute it voluntarily and with full knowledge of its significance.

In witness whereof, I have executed this release at:

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
City State  
on this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

\_\_\_\_\_  
Applicant's Signature

**NOTARY**

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

Sworn to and subscribed before me on this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

**APPLICANT'S REQUEST TO RELEASE INFORMATION**

TO \_\_\_\_\_  
FROM \_\_\_\_\_

Applicant's Name

1. I hereby authorize and request all persons to whom this request is presented having information relating to or concerning me to furnish such information to a duly appointed agent of the Gaming Commission, whether or not such information would otherwise be protected from the disclosure by any constitutional, statutory or common law privilege.
2. I hereby authorize and request all persons to whom this request is presented having documents relating to or concerning me to permit a duly appointed agent of the Gaming Commission to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.
3. If the person to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, I hereby authorize and request that a duly appointed agent of the Gaming Commission be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to me, including but not limited to past loan information, notes co-signed by me, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
4. I do hereby make, constitute, and appoint any duly appointed agent of the Gaming Commission my true and lawful attorney in fact for me in my name, place, stead, and on my behalf and for my use and benefit:
  - (a) To request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person to whom this request is presented as I might;
  - (b) To name the person or entity to whom this request is presented and insert that person's name in the appropriate location on this request;
  - (c) To place the name of the Gaming Commission agent presenting this request in the appropriate location on this request.
5. I grant to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as I might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends twenty-four (24) months from the date of execution.
7. I have filed with the Gaming Commission an "application" as that term is defined in the Mississippi Gaming Control Act, Section 75-76-1, et. seq. of the Mississippi Code. I understand that I am seeking the granting of a privilege and acknowledge that the burden of proving my qualifications for a favorable determination is at all times on me. I accept any risk of adverse public notice, embarrassment, criticism, or other actions of financial loss which may result from action with respect to this application.
8. I do, for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person to whom this request is presented, and his agents and employees from all and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which I ever had, now have, may have, or claim to have against the person to whom this request is presented or his agents or employees arising out of or by reason of complying with this request.
9. I agree to indemnify and hold harmless the person to whom this request is presented and his agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
10. A reproduction of this request by the Xerox or similar process shall be for all intents and purposes as valid as the original.

In witness whereof, I have executed this request at \_\_\_\_\_, City

\_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 20   
State

\_\_\_\_\_  
Social Security Number Applicant's Signature

Subscribed and sworn to before me the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

Notary Public in and for the County of \_\_\_\_\_

State of \_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Signature of Mississippi Gaming Commission agent presenting this request: