

Jerry St. Pe'
Chairman

Nolen Canon
Commissioner

John M. Hairston
Commissioner



Allen Godfrey
Executive Director

MISSISSIPPI GAMING COMMISSION

Post Office Box 23577
Jackson, Mississippi 39225-3577
(601) 576-3800

**Submission Form for a New Table Game or the Modification of a Previously
Approved Table Game
(Unlicensed Applicants)**

General Instructions

Type or print an answer to every question; if a question does not apply to you, please indicate with N/A. If the space available is insufficient, use a separate sheet and precede each answer with the appropriate title. All of the disclosures made on this form are subject to verification and any omission or misstatement may result in the denial of your submission and the forfeiture of any fees remitted.

This form must be completed by each Executive, Officer / Director and/or Equity Holder of greater than 5% of the developing Corporation, Limited Liability Company, Partnership, etc.

- Name of Game: _____
- Type of Business Entity: _____
- Business Name: _____ EIN: _____
- Business Address: _____
- City: State: Zip Code: _____

1. Personal Information

Last Name		First		Middle	
Alias (e.g., nicknames, name changes, maiden name)					
Residence		Apt. #	City/Town	State	Zip Code
Mailing Address		Apt. #	City/Town	State	Zip Code
Business Address		Suite #	City/Town	State	Zip Code
Telephone Numbers Residence: (____) ____-_____ Business: (____) ____-_____ Cellular: (____) ____-_____ Fax: (____) ____-_____			Occupation E-Mail Address		

Date of Birth		Place of Birth (City, County, State)		Social Security Number
Sex	Eye Color	Hair Color	Height	Weight

2. Arrests, Detentions, Litigations and Arbitrations

Have you ever been arrested, detained, charged, indicted or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of the disposition of the event? (Except minor traffic violations)

☐ Yes ☐ No

If you answered yes, please provide details in the space below. Please include all arrests, including those for which you were not convicted. Continue on a separate sheet of paper if necessary.

Date of Arrest	Charge	Arresting Agency	City & State	Disposition & Date

Has a criminal indictment, information, or complaint ever been returned against you, for which you were not arrested or in which you were named as an un-indicted co-party?

☐ Yes ☐ No

If yes, please provide details on a separate sheet of paper.

Have you ever been questioned or deposed by a city, county, state, federal law enforcement agency or commission or committee?

☐ Yes ☐ No

If yes, please provide details on a separate sheet of paper.

Have you ever been subpoenaed to appear or testify before a federal, state, or county grand jury on a civil or criminal matter; or before a Board or Commission on an administrative issue?

☐ Yes ☐ No

If yes, please provide details on a separate sheet of paper.

Have you ever had a civil or criminal record expunged or sealed by a court order?

☐ Yes ☐ No

If yes, please provide details on a separate sheet of paper.

Have you ever received a pardon or deferred prosecution for any criminal offense?

☐ Yes ☐ No

If yes, please provide details on a separate sheet of paper.

Have you, as an individual, member of a partnership, owner, director, or officer of a corporation, ever been a party to a lawsuit as either a plaintiff or defendant or arbitration as either a claimant or respondent?

☐ Yes ☐ No

If you answered yes, please provide details in the space below, please include any pending matters. Continue on a separate sheet of paper if necessary.

Plaintiff/Defendant or Claimant/Respondent	Date Filed	Court and Case Number	City, County & State	Disposition & Date

Has any general partnership, business venture, sole proprietorship, or closely held corporation (while you were associated with it as an owner, officer, director, or partner) been a party to a lawsuit, arbitration, or bankruptcy?

☐ Yes ☐ No

If you answered yes, please provide details in the space below, please include any pending matters. Continue on a separate sheet of paper if necessary.

Name of Entity	Type of Entity	Approximate date(s) of Lawsuit/Arbitration/Bankruptcy

3. Residences

List your current and previous residence:

Month/Year From - To	Physical Address, City, State, Zip Code

4. Employment

List your current employment:

Month/Year From - To	Name of Business and Physical Address	Reason for Leaving
Title	Name of Supervisor	Gaming Present <input type="checkbox"/> Yes <input type="checkbox"/> No
Description of Duties		

5. Licensing and Other Information

Have you ever held a privileged or professional license in any State, including but not limited to the following:

- | | | |
|---|--|---|
| <input type="checkbox"/> Liquor / Beer | <input type="checkbox"/> Boxing / Athletic | <input type="checkbox"/> Real Estate Broker / Sales |
| <input type="checkbox"/> Accountant | <input type="checkbox"/> Law | <input type="checkbox"/> Other |
| <input type="checkbox"/> Medical | <input type="checkbox"/> Jockey | |
| <input type="checkbox"/> Race Horse / Dog | <input type="checkbox"/> Trainer / Manager | |

State where, years licensed and the nature of any disciplinary actions taken against you:

Have you ever held a financial interest in a gambling venture, including a race track; dog track; race horse or dog; lottery; casino; bookmaking operation; pari-mutuel operation; or manufacturer or distributor of gambling equipment?

- ☐ Yes ☐ No

If yes, state when and where and give names and locations of businesses in which you were/are involved and the names and addresses of all partners.

Have you ever appeared before a licensing agency or similar authority in or outside of the State of Mississippi for any reason whatsoever?

☐ Yes ☐ No

If yes, provide the details below:

Have you ever been refused a gaming license, finding of suitability, gaming work permit or had any other gaming related approval revoked, recalled, suspended or conditioned?

☐ Yes ☐ No

If yes, provide the details below:

Have you ever been granted a gaming license or been a participant in any group which has been issued a gaming license by the State of Mississippi?

☐ Yes ☐ No

If yes, state the type of license, name of establishment, location and period held:

Have you ever been employed in the gaming industry in the State of Mississippi or any other jurisdiction?

☐ Yes ☐ No

If yes, state the location, name of establishment, position and dates of employment:

Do you have any relatives associated with or employed in the gaming industry?

☐ Yes ☐ No

If yes, provide the details below:

STATEMENT OF TRUTH
(To be completed in the presence of a Notary Public)

STATE OF _____

COUNTY OF _____

_____ being duly sworn according to law deposes and says:
(Name of Applicant)

(Place your initials in appropriate response)

- | | | | |
|----|---|------------|-----------|
| 1. | I am the applicant who is submitting this application form | Yes () | No () |
| 2. | I personally supplied the information contained in this form. | Yes () | No () |
| 3. | I swear (or affirm) that the information contained in this form is true to the best of my knowledge and belief. | Yes() | No () |

(Legal Signature of Applicant)

(Date)

Subscribed and sworn to before me on this _____ day of

_____, 20_____.

and _____ a corporation incorporated under the laws of the State of

_____.

Notary Public

S E A L

State

AFFIDAVIT OF FULL DISCLOSURE

STATE OF _____
COUNTY OF _____

_____, being first duly sworn, deposes and says,

Name of Applicant

That, except as reflected on an application filed with the Gaming Commission, he is or will be the sole beneficial owner of any direct or indirect interest in or to a licensed gaming operation or any portion thereof for which he has made application to the Gaming Commission, to be licensed or found suitable to own;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements of understandings with any other person and no present intent to hold as agent, nominee or otherwise any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements or understandings with any other person and no present intent to transfer at any future time any interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements or understandings with any other person and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person related to the acquisition of any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, except such as have been reported in writing to the Gaming Commission, he has no agreements or understandings and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person related to the sale of any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission;

That, any funds used or to be used, and any liabilities incurred or to be incurred by him in the acquisition of any direct or indirect interest in or to a licensed gaming operation or any portion thereof for which he seeks licensing or a finding of suitability by the Gaming Commission were not provided to him nor made available to him through the efforts of anyone not disclosed to the Gaming Commission;

That, except as reported in writing to the Gaming Commission, no other person has provided collateral for or guaranteed payment of any loans made to him related to his application for licensing or a finding of suitability by the Gaming Commission.

Applicant's Signature

Name and location of gaming establishment

Sworn to and subscribed before me on this the _____ day of _____, 20 _____

Notary Public

My commission expires: _____

RELEASE OF ALL CLAIMS

The undersigned has filed with the Gaming Commission an "application," as that term is defined in the Mississippi Gaming Control Act and the Gaming Commission. In consideration of the assurance by the Commission that no vote on said "application" will be taken except after a deliberate, intensive and thorough investigation of the undersigned, including but not limited to background, associates, and finances, the undersigned does for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the State of Mississippi, the Gaming Commission, its members, agents, and employees, from all and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or by reason of the processing or investigation of or other action relating to the undersigned's "application".

I, the undersigned, have read this release and understand all its terms. I execute it voluntarily and with full knowledge of its significance.

In witness whereof, I have executed this release at:

_____, _____
City State

on this the _____ day of _____, 20 _____

Applicant's Signature

NOTARY

STATE OF _____

COUNTY OF _____

Sworn to and subscribed before me on this the _____ day of _____, 20 _____

Notary Public

My commission expires: _____

APPLICANT'S REQUEST TO RELEASE INFORMATION

TO _____
FROM _____

Applicant's Name

1. I hereby authorize and request all persons to whom this request is presented having information relating to or concerning me to furnish such information to a duly appointed agent of the Gaming Commission, whether or not such information would otherwise be protected from the disclosure by any constitutional, statutory or common law privilege.
2. I hereby authorize and request all persons to whom this request is presented having documents relating to or concerning me to permit a duly appointed agent of the Gaming Commission to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.
3. If the person to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, I hereby authorize and request that a duly appointed agent of the Gaming Commission be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to me, including but not limited to past loan information, notes co-signed by me, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
4. I do hereby make, constitute, and appoint any duly appointed agent of the Gaming Commission my true and lawful attorney in fact for me in my name, place, stead, and on my behalf and for my use and benefit:
 - (a) To request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person to whom this request is presented as I might;
 - (b) To name the person or entity to whom this request is presented and insert that person's name in the appropriate location on this request;
 - (c) To place the name of the Gaming Commission agent presenting this request in the appropriate location on this request.
5. I grant to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and proposes as I might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends twenty-four (24) months from the date of execution.
7. I have filed with the Gaming Commission an "application" as that term is defined in the Mississippi Gaming Control Act, Section 75-76-1, et. seq. of the Mississippi Code. I understand that I am seeking the granting of a privilege and acknowledge that the burden of proving my qualifications for a favorable determination is at all times on me. I accept any risk of adverse public notice, embarrassment, criticism, or other actions of financial loss which may result from action with respect to this application.
8. I do, for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person to whom this request is presented, and his agents and employees from all and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which I ever had, now have, may have, or claim to have against the person to whom this request is presented or his agents or employees arising out of or by reason of complying with this request.
9. I agree to indemnify and hold harmless the person to whom this request is presented and his agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
10. A reproduction of this request by the Xerox or similar process shall be for all intents and purposes as valid as the original.

In witness whereof, I have executed this request at _____, _____
City

_____ on the _____ day of _____, 20
State

Social Security Number

Applicant's Signature

Subscribed and sworn to before me the _____ day of _____, 20 _____

Notary Public in and for the County of _____

State of _____

Notary Public

Signature of Mississippi Gaming Commission agent presenting this request: