

**Mississippi Gaming Commission  
INTERNAL CONTROL SUBMISSION FORM**

**DATE:**

**LICENSEE:**

**TYPE OF AMENDMENT:**

First Time Submission for: ☐ New Licensee ☐ Removal from field trial ☐ New Associated Equipment  
Reason for Submission: ☐ Response to MGC Audit Violation Letter Dated ☐ Response to Internal Audit  
Report ☐ Response to External Audit Report ☐ Miscellaneous Updates ☐ other:  
Revision Submitted for: ☐ Complete Revision of all ICs ☐ Slots ☐ Table Games ☐ Cage/Credit ☐ Keys  
☐ Card Games ☐ Race & Sports Book ☐ Keno ☐ Drop/Count ☐ Self-Exclusion ☐ Org Chart/Job  
Descriptions ☐ Administrative ☐ other:

Unless this is a first time submission or a complete revision, a separate form should be completed for each different type of amendment. This will facilitate the approval of submissions in a timely manner. Submissions should be made via email. Except for a new submission, the submission must contain tracked changes that show any and all modifications to the approved internal control being revised and must contain a description of the changes.

**Description of Changes:**

This form must be signed by the licensee's chief financial officer, or equivalent, by the licensee's chief executive officer, or equivalent or by an individual authorized by the aforementioned to do so.

Name (Please Print)

Signature

Telephone Number

E-mail address

**TO BE COMPLETED BY MGC**

Date Initial Submission Received:

If applicable, Date Revision Requested

Date Revision Received:

Date Sent to Licensee:

Final Decision:

☐ Approved

☐ Denied

Effective Date:

If denied, the reason for the denial is attached.

The attached amendments are stamped with my signature. Approved amendments must become part of your written internal controls. The amendments may not be implemented until the effective date stated on this form. You are expected to train all personnel on the new procedures prior to the effective date. Approvals may be revoked at any time by written notice to you if the Commission determines that the controls are not, in fact, providing the safeguards deemed necessary. If revision(s) are required, you have thirty days to send the Commission the revised controls containing the necessary changes.

Approved/Denied by: (Please Print)

Signature